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Clinical Effectiveness of Lifestyle Health Coaching: Case Study of an Evidence-Based Program

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Abstract

We have developed, tested and successfully implemented an affordable, evidence-based, technology-enabled, data-driven, outcomes-oriented, comprehensive lifestyle health coaching (LHC) program. The LHC program has been used primarily to provide services to employees of larger employers (i.e., with at least 3,000 employees) but has also been implemented in a variety of other settings, including hospitals, cardiac rehabilitation centers, physician practices and as part of multicenter clinical trials. The program is delivered mainly using the telephone and Internet. Health coaches are guided by a web-based participant management and tracking system. Lifestyle management interventions are based on several behavior change models and strategies, especially, adult learning theory, social learning theory, the stages of change model, single concept learning theory and motivational interviewing. The program is administered by non-physician health professionals whose services are integrated with the care provided by participants' physicians. Outcomes data from published studies, including randomized clinical trials and independent third-party conducted research, have documented the clinical effectiveness of this evidence-based approach in terms of modification of multiple risk factors in healthy persons as well as those with certain common chronic diseases.

Key Words

Exercise; nutrition; wellness; prevention

Introduction

Data from a variety of credible sources, including epidemiological, prospective cohort and intervention studies, have shown that regular physical activity, correct nutrition, tobacco cessation and a few other common lifestyle interventions can help mitigate the progression of many non-communicable chronic diseases and, in certain instances, even reverse existing disease.^{1,2} Despite this overwhelming scientific evidence, potentially preventable lifestyle-related chronic diseases remain the leading causes of death, disability and avoidable healthcare costs in Westernized society and are increasing dramatically in many developing nations.³⁻⁵

In particular, 3 lifestyle-related chronic diseases - cardiovascular disease (CVD), cancer and type 2 diabetes - combine to make up ~two-thirds of all deaths in the U.S.^{4,5} Yet, with the exception of cigarette smoking cessation, there is evidence that minimal, if any, improvement occurred in recent decades in key lifestyle practices related to the prevention of these and other potentially preventable chronic diseases.² Indeed, an increase has been reported in the prevalence of inappropriate caloric intake, obesity and type 2 diabetes, and fewer than 1% of adult Americans currently exhibit ideal levels of cardiovascular health behaviors and factors based on 7 American Heart Association-defined metrics.^{4,5}

Although sound clinical reasons exist for emphasizing lifestyle intervention in day-to-day medical practice and national clinical guidelines advocate a multi-factorial lifestyle approach to chronic disease risk reduction, studies show that physicians often fail to provide adequate counseling on lifestyle management.^{2,6} This observation is not entirely unexpected given that physicians generally work in an intensely busy

environment, typically do not have the time, infrastructure or resources to focus adequate attention on lifestyle management and receive little or no compensation for the provision of such preventive services. In view of these and other well documented barriers, it is not surprising that physicians in the U.S. generally tend to limit most of their attention to acute medical problems, give relatively low priority to preventive interventions and, when focusing on chronic disease risk reduction, prescribe pharmacologic therapy in preference to lifestyle intervention. Regarding the latter, because of the widespread availability of many powerful and relatively safe medications, the value of lifestyle management per se in contemporary medical practice is often discounted by physicians, health insurers and patients.⁷

The widespread failure of current medical practice to reflect the recent advances in knowledge of risk factors for chronic disease and their effective modification through lifestyle intervention, undoubtedly results in avoidable death, disability and human suffering.⁸ Moreover, this failure is believed to be an important contributing factor to rising healthcare costs which, in turn, is a critical driving force behind the current unsustainable trajectory of the U.S. fiscal deficit. Clearly, this failure remains a frustrating impediment to fulfilling the potential for improving quality of life, productivity and longevity through healthy lifestyles while simultaneously reducing avoidable healthcare expenditures.

The aging of the U.S. population, high prevalence of lifestyle-related chronic diseases and risk factors for chronic disease and rising cost of health care in the U.S. provide a sense of urgency and immediacy for finding innovative solutions aimed at helping individuals make and adhere to meaningful lifestyle changes. In recent years, a

variety of innovative approaches to lifestyle intervention have been successfully implemented in a variety of clinical and non-clinical settings.⁹ These approaches span a broad spectrum, ranging from physician-supervised/nurse case managed interventions to interactive web-based programs and mobile apps. Likewise, the settings in which these newer interventions have been implemented range from hospital-based settings to the workplace. Regarding the latter, the current unprecedented interest in workplace lifestyle management programs in the U.S. stems in part from the recognition that more than 60% of Americans obtain their health insurance coverage through employment-based plans and the fact that most employees spend the majority of their time at work.^{2,10}

In this manuscript, we describe the key features of an affordable, evidence-based, technology-enabled, data-driven, outcomes-oriented, comprehensive lifestyle health coaching (LHC) program we have successfully implemented in the workplace and other clinical and non-clinical settings. We also summarize outcomes data from key published scientific abstracts and manuscripts on the LHC program's clinical effectiveness in healthy persons as well as those with certain common chronic diseases.

Core Components of an Evidence-Based LHC Program

We have spent over 2 decades developing, testing and implementing evidence-based LHC programs.¹¹⁻¹³ As recommended by expert professional groups, we based our initial program development efforts on models shown to be effective in randomized clinical trials. Of the various studies completed at that time, we considered the Stanford Coronary Risk Intervention Project (SCRIP) to be of particular relevance because it

utilized what appeared to be the most logical approach to lifestyle management and chronic disease risk reduction, namely, intensive modification of multiple risk factors via comprehensive lifestyle interventions combined with appropriate pharmacotherapy.¹⁴ In SCRIP, the multifactor risk reduction program resulted in a 47% lower rate of narrowing of diseased coronary artery segments and reduced hospitalization for clinical cardiac events by 39% versus usual care during the 4-year study period. While the initial focus of our lifestyle management programs was exclusively CVD risk reduction, the programs have evolved considerably over time and now successfully target multiple risk factors and potentially preventable chronic diseases.

Briefly, the content of our lifestyle management program is organized into 2 core sets of services. One set is coach-assisted, involving one-on-one counseling of participants by a non-physician health professional, and is referred to as a LHC program. The other set is an array of individualized self-help programs, all of which are web-enabled. Whereas the LHC program is comprehensive in nature in that it targets multiple behaviors and risk factors in an integrated fashion, the self-help programs generally target a single major behavior (for example, exercise training/physical activity, correct nutrition, weight management, tobacco cessation or stress management). Our comprehensive LHC program is the focus of the remainder of this manuscript.

Currently, our LHC program is delivered mainly in the U.S. and Canada using the telephone and Internet, and has been used primarily to provide services to employees of larger employers (i.e., with at least 3,000 employees), including multinational corporations with employees in multiple countries. However, over the years the program has also been successfully implemented in a variety of other settings, including

hospitals, cardiac rehabilitation centers, physician practices and even health clubs, shopping malls and other retail settings. Most recently, the LHC program has been modified for use in collaboration with the American College of Cardiology's CardioSmart patient-centered care initiative and as part of 2 multicenter, randomized clinical trials funded by the National Institutes of Health. In each of the different settings, the program content has been adapted to enhance the applicability to the specific settings and, where appropriate, clinical and cultural circumstances. From a global perspective, many adaptations have been made to facilitate culturally-appropriate service provision in multiple countries and languages, including English (several variations), French (Canadian), Spanish, Portuguese (Brazil), Portuguese (European-Angola) and Thai.

The primary specific objectives of our LHC program are to help participants: 1. make and adhere to meaningful, evidence-based lifestyle changes (for example, regular exercise/physical activity, healthy nutrition, weight management, stress management, tobacco avoidance and appropriate sleep hygiene/fatigue risk management); 2. address gaps in their preventive care (for example, compliance with recommended preventive screenings, tests and immunizations); 3. learn about their specific risk factors for chronic diseases and known chronic medical conditions; and 4. comply with prescribed medications. To accomplish these objectives and help ensure the attainment of clinically meaningful and reproducible outcomes, our LHC program is delivered using a formal, structured, systematic approach together with rigorous quality assurance protocols. The core components of our LHC program and key steps typically involved in their delivery to employees in a workplace setting are as follows:

Step 1: Participant identification, risk stratification and enrollment

In the workplace setting, employers have often paid for and provided financial incentives for their employees and, in some instances, their spouses and adult dependents to participate in the LHC program. Following completion of appropriate pre-program launch activities, potential participants are identified primarily through the use of a health risk assessment (HRA). After the provision of informed consent by each individual, HRAs are typically completed online via a secure website that serves as the primary point of entry to the HRA and other useful resources (such as wellness-related incentives, challenges and educational content). The HRA can also be completed telephonically or in hard copy (“pen and paper”) format. In addition to the use of HRAs, potential participants may be identified using medical and pharmacy claims data and can also be self-referred to the LHC program or referred via a variety of other channels, including onsite biometric screenings, disease management programs, disability management programs and physicians/other healthcare providers.

The baseline HRA is used to provide individuals with basic awareness and education information on their risk for potentially preventable chronic diseases, the specific factors placing them at risk and the actions they can take to mitigate their risk, with a focus on lifestyle management. The HRA is also used to evaluate and stratify individuals for triage to LHC programs involving different intensities of intervention. In this respect, a 2-level HRA stratification process is typically used. First, an industry standard, population level 1 stratification categorizes individuals into 3 levels of risk for future direct and indirect healthcare-related expenditures (also referred to as “health risk stratification”), as follows: 0-2 risk factors (lower risk), 3-4 risk factors (moderate risk) and 5 or more risk factors (higher risk).¹⁵ Second, to determine the most appropriate

intensity of intervention needed to optimize risk reduction in accordance with principles of evidence-based medicine, the stratification status of individuals who fall into the lower and moderate health risk stratification categories is further refined using proprietary unpublished algorithms that consider a variety of additional factors, including, severity of individual risk factors, presence of other risk factors, behavioral versus non-modifiable risk factors and the difficulty associated with modifying specific risk factors. This level 2 stratification categorizes individuals into 3 levels of intervention (also referred to as “intervention intensity stratification”), as follows: lower, moderate and higher intensity intervention.

Immediately on completing the online HRA, participants are able to enroll in the LHC program and schedule their first appointment using an online enrollment tool. Participants may also contact the program via secure online chat, e-mail or telephone to obtain additional information prior to enrollment and subsequently enroll in the LHC program via the telephone. For individuals who do not enroll online and who do not contact the program, a formal outreach process involving use of the postal mail, e-mail, text-messaging and/or telephone is utilized in an attempt to optimize enrollment. On program enrollment, each participant is assigned to an appropriately trained non-physician health professional who serves as the participant's dedicated health coach.

Health coaches are supervised by a director of health coaching who reports directly to the LHC program's medical director. All health coaches have a minimum of a 4-year college degree in a health-related area and at least 2 years of relevant work experience. Coaches include health educators, nurses, dietitians/nutritionists, exercise scientists and other health professionals. Newly hired coaches receive approximately

160 hours of structured, competency-based training prior to engaging with participants. Ongoing training occurs during weekly staff meetings. Coaching calls are recorded and monitored for quality assurance and training purposes. Specialized in-depth training for the health coaches is also conducted as new program materials and processes are introduced.

Step 2: Initial/intake assessment

The HRA typically forms the basis of the intake or initial assessment and evaluates factors such as past and current medical history, risk factors for chronic disease, medications, current lifestyle practices, self-reported health status, productivity (including absenteeism and presenteeism), psychosocial status, preventive screenings and immunizations, safety practices, readiness for change, biometric measurements (such as, height, weight, waist circumference, resting blood pressure, fasting serum lipids and lipoproteins, fasting glucose and A1C) and other relevant information. Participants who have already completed the HRA prior to enrollment in the LHC program are asked to review and, where appropriate, update their HRA. To facilitate the provision of evidence-based and clinically-responsible LHC, participants who have not previously completed the HRA (for example, participants referred to the program through one of the abovementioned alternative referral channels) are required to complete the HRA or another similar intake assessment prior to the initiation of LHC.

Step 3: Goal setting

Based on the initial assessment, computer-generated, individualized, short- and long-term goals are set for multiple risk factors (including weight, systolic and diastolic blood pressure, serum lipids and lipoproteins, fasting glucose and A1C), health

behaviors (including physical activity/exercise training, nutrition, stress, tobacco use and sleep hygiene) and risk scores (including the Framingham 10-year coronary heart disease risk score and other atherosclerotic CVD risk scores), in accordance with clinical guidelines published by credible expert groups (for example, in the U.S., the American College of Cardiology, American College of Sports Medicine, American Diabetes Association, American Heart Association, Centers for Disease Control and Prevention, National Institutes of Health, Obesity Society and U.S. Preventive Services Task Force). In addition to the computer-generated, guideline-based goals, health coaches help each participant formulate key specific action-based, realistic and time-line driven goals that the participant wants to accomplish.

Step 4: Action plan formulation

Based on the initial assessment, a computer-generated, individualized action plan is formulated to help each participant achieve the short- and long-term goals. The action plan focuses on important lifestyle practices (especially, physical activity/exercise training, correct nutrition, weight management, tobacco cessation and stress management). In addition to behavior modification, the action plan identifies the need for specific preventive screenings, immunizations, other self-care activities and physician referrals, for example, for consideration of new medications and/or changes in prescription medications to optimize the management of common chronic conditions such as hypertension, hyperlipidemia and diabetes consistent with expert clinical guidelines.

Step 5: Review/revision of goals and action plan

Based on their interaction with the participant and/or input from the participant's physician or other healthcare providers, health coaches have the ability to revise the computer-generated, guideline-based goals and action plans but are required to document the rationale for any such changes in the computer database. Typically, participants access their goals and action plan reports via the Internet but in some instances reports are mailed to participants. Reports are accompanied by an audio explanation, which can be accessed online. Health coaches review goals and action plans with participants and, when doing so, are guided by both the program database and written instructions, referred to as coaching prompt sheets (or lesson plans). If the action plan includes referral to a physician or other healthcare provider, the health coach emphasizes the importance of this and subsequently documents the outcome of the referral in the program database. Letters may be mailed, faxed or transmitted via secure e-mail to physicians notifying them of their patients' participation in the program.

Step 6: Action plan implementation

With guidance from the program's web-based participant management database, health coaches assist participants in implementing their individualized action plans over the course of each program year. Coaching occurs during proactive, formally structured, one-on-one counseling sessions conducted via brief (usually ~15 minutes in duration) prescheduled telephone appointments and, if the participant prefers, via secure online chat or e-mail.

Coaching is conducted using behavioral interventions derived from several well-established behavior change models and strategies, primarily, adult learning theory, social learning theory, motivational interviewing and the stages of change model.

Materials and messages are matched with the participant's stage of readiness for change for each health behavior. Cognitive and behavioral processes are emphasized to varying extents in different ways depending on the participant's stage of readiness for change. Cognitive processes include increasing knowledge, comprehending benefits of changing a behavior, warning of risks and consequences of not changing and empowering the participant to take action based on internal motivation. Participants engage in numerous self-assessment and self-monitoring activities, including weighing the pros and cons of changing, keeping online exercise and food diaries, completing stress and smoking logs and assessing self-efficacy. Behavioral processes include counter-conditioning, enlisting social support, using incentives and rewards (including the ability for participants to track their specific incentives/rewards online), controlling stimuli and building confidence. Because participants are continually at risk for relapse, emphasis is given to planning for high-risk situations and dealing with and learning from slips.

Typically, only 1 major concept or skill is introduced at a time (that is, single concept learning theory) in a relatively easy-to-understand and carefully sequenced way. This approach is facilitated by the use of an individualized series of behavior change and education modules. The written and audio versions of each module can be accessed via the Internet and via "hard copy" format. The modules incorporate many of the abovementioned behavior change models and strategies.

The precise number of telephonic LHC sessions scheduled for each participant is based primarily on the participant's intervention intensity stratification status. Generally, during the first year of program participation lower-intensity intervention participants are

pre-assigned to receive 4 coaching sessions, moderate-intensity intervention participants 9 coaching sessions and higher-intensity intervention participants 12-18 coaching sessions. Coaching schedules are front-loaded so that 50% or more of the assigned coaching sessions occur within the first ~12-weeks of intervention in order to better support behavior change. It is possible for a participant to complete additional coaching sessions if the participant requests to do so and the health coach believes that the participant could benefit from the additional LHC.

The appropriateness of our coaching schedules is supported both by our unpublished research on the dose-response relationship between the total number of completed telephonic LHC sessions and the reduction in the number of health risks and by published outcomes data documenting the clinical effectiveness of our LHC program (Table 1). However, additional research is needed to clarify the optimal number, frequency and duration of coaching sessions, both from a cost and effectiveness perspective.

Step 7: Follow-up assessment

After ~12 weeks and 1 year of program participation, and at least annually thereafter, participants complete a formal follow-up assessment. In addition to the follow-up assessment, participants typically retake the HRA on an annual basis.

Step 8: Progress reports and revision of goals/action plan

Based on program participation and the follow-up assessments or repeat HRAs, participants are provided computer-generated reports documenting their progress and updating their goals/action plans. Progress reports are reviewed at LHC sessions. As with the initial goals and action plan reports, if the revised action plan includes referral to

a physician or other healthcare provider, the health coach emphasizes the importance of this and subsequently documents the outcome of the referral in the program database. Letters may be sent to physicians notifying them of their patients' progress in the program.

Step 9: Maintenance

Participants usually enroll in the program for 1 year at a time but have access to continuing years of ongoing LHC or self-help programs. Compliance with scheduled LHC sessions and interventions is tracked using the program's web-enabled participant management and tracking database.

Step 10: Outcomes assessment

Detailed aggregate outcomes reports are generated on a regular basis for specific employers and other groups of program participants. Benchmarking may be included using book-of-business analyses.

As stated earlier, the core components and key steps of the LHC program have been adapted for cost-effective implementation in many other settings. For example, in physician referral settings, physicians may: refer patients using a variety of modalities, including a mobile app; track the outcome of referrals online and via the mobile app; access goals, action plan and progress reports generated for their patients via a secure online portal; provide input to health coaches for their patients via the portal; and receive aggregate outcomes reports (including participant satisfaction reports) for their patients.

Clinical Effectiveness of the LHC Program: Modification of Multiple Risk Factors

Our LHC program is based on models shown to be effective in randomized clinical trials and is conducted in accordance with published national and international clinical guidelines, where appropriate. In addition, the effect of our specific LHC program on multiple risk factors has been carefully evaluated in randomized clinical trials, including independent third-party conducted studies, as well as by analyzing our book-of-business data as part of formal research initiatives. Outcomes data from the key published peer-reviewed manuscripts on the clinical effectiveness of our LHC program in healthy persons as well as those with multiple risk factors are summarized in chronological order (based on year of publication) in Table 1 and Figures 1 to 3.¹⁶⁻²³ Collectively, these studies and our other published research (including scientific abstracts)²⁴⁻⁵² show that our LHC program:

- a. Helps participants favorably modify multiple behaviors (including exercise training/physical activity, nutrition and tobacco use).^{16,24}
- b. Results in clinically relevant improvements in multiple biomarker risk factors (including systolic and diastolic blood pressure, total cholesterol, LDL cholesterol, HDL cholesterol, triglycerides, fasting glucose, body weight, body mass index, waist circumference and cardiorespiratory fitness) in diverse populations. These populations include males and females,²⁵⁻²⁸ African Americans and Caucasians,^{19,29-31} educated and less-well-educated participants³² and apparently healthy participants as well as those with a variety of chronic medical conditions (including, overweight/obesity,^{33,34} prediabetes/diabetes,^{18,25,35-37} prehypertension/ hypertension,^{18,19} the metabolic syndrome,^{38,39}

hyperlipidemia,^{18,40,41} coronary artery disease,^{17,42} stroke/TIA/carotid artery disease,^{21-23,29,43-45} arthritis⁴⁶ and cancer⁴⁷).

c. Can be of significant benefit in helping to control elevated blood pressure, hyperlipidemia and hyperglycemia in many individuals through lifestyle intervention alone (that is, can help reduce the need for drug therapy and thereby be of benefit from a cost-containment perspective).^{16,18,19}

d. Is more accessible than and at least as effective, in terms of modification of multiple risk factors, as a traditional phase 2 cardiac rehabilitation program (an accepted standard of care) and a physician-supervised/nurse case managed program in patients with coronary artery disease, despite its substantially lower cost.^{17,48}

e. Is more effective, in terms of CVD risk reduction, than a less intensive approach to workplace health promotion that does not involve ongoing and carefully structured LHC. As emphasized in Table 1, not all lifestyle management programs result in meaningful clinical benefits and, therefore, emphasis should be placed on the use of LHC programs that have specifically been proven effective in peer-reviewed published clinical trials.^{2,20}

f. Elicits clinically relevant and reproducible improvements in multiple risk factors when administered by the clinical staff of licensee institutions in multiple geographic locations.⁴⁹

g. Is at least as effective when administered remotely from a call center using the telephone and the Internet as compared with onsite, face-to-face, program delivery.⁵⁰

h. Is clinically effective when administered via telephone and the Internet to adults living in rural communities.⁵¹

i. Results in a favorable population shift from higher to lower health risk stratification levels when administered to employees, with a significant dose-response relationship between the total number of completed telephonic LHC sessions and the reduction in the number of health risks.⁵²

In addition to data on clinical effectiveness, and although not the focus of this manuscript, our data document high participant satisfaction levels^{53,54} and the beneficial effect of our LHC program on multiple indices of quality of life.⁵⁵ Although further research is warranted, our data also suggest that our LHC program may favorably impact employee healthcare claims and productivity, and that the abovementioned clinical benefits are sustained over multiple years.^{13,23}

Conclusion

In conclusion, we have developed, tested and successfully implemented an affordable, evidence-based, technology-enabled, data-driven, outcomes-oriented, comprehensive LHC program. The program has been used primarily to provide LHC to employees of larger employers but has also been implemented in a variety of other clinical and non-clinical settings. Outcomes data from numerous published studies and scientific abstracts, including randomized clinical trials and independent third-party conducted research, have documented the clinical effectiveness of this evidence-based approach in terms of modification of multiple risk factors in healthy persons as well as those with certain common chronic diseases. On the basis of our outcomes data, it is evident that appropriately designed and implemented LHC programs constitute an

important component of the armamentarium of interventions that can be used in the global “war” against potentially preventable non-communicable chronic diseases. In the future, we and others anticipate that evidence-based LHC programs that have been proven effective in peer-reviewed published clinical trials will not only be deployed with increased frequency as part of employer-sponsored wellness initiatives but will also become a standard of care in daily clinical practice.^{2,56,57}

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Table 1. Case Study: Outcomes Data from Select Published Scientific Manuscripts on the Clinical Effectiveness of the LHC Program

Reference, Year; Title; Objectives; Population; Study Design/Duration	Results/Outcomes	Conclusions/Implications
<p>Gordon et al, 1997; Comparison of Single Versus Multiple Lifestyle Interventions: Are the Antihypertensive Effects of Exercise Training and Diet-Induced Weight Loss Additive?¹⁶</p> <p>This randomized clinical trial compared the effect on resting BP of LHC that focused on exercise training only or dietary modification only with that of LHC that focused on both exercise training plus dietary modification. Subjects were 55 sedentary, overweight adults with high normal BP or stage 1 or 2 hypertension. After baseline testing, subjects were randomized to 1 of the following 3 LHC interventions for 12 weeks: exercise training only, dietary modification only or exercise training plus dietary modification. 48 subjects completed the study. Subjects were not taking antihypertensive medications at baseline and remained unmedicated throughout the study.</p>	<p>LHC focusing on exercise training plus dietary modification elicited a greater reduction ($p \leq 0.001$) in body weight (-15.6 vs. -2.2 lbs) than LHC focusing on exercise training only, and a greater increase ($p \leq 0.05$) in maximal oxygen uptake (4.3 vs. 1.9 ml/kg/min) versus LHC focusing on dietary modification only. However, the reduction in BP with exercise training plus dietary modification (-12.5/7.9 mmHg) did not differ significantly from that with exercise training only (-9.9/5.9 mmHg) or dietary modification only (-11.3/7.5 mmHg).</p>	<p>These data document the beneficial effects of LHC interventions that focus on exercise training only, dietary modification only and both exercise training plus dietary modification in terms of improvements in body weight, maximal oxygen uptake and BP. The data further indicate that, in contrast to the effects on body weight, the antihypertensive effects of exercise training and dietary modification may not be additive.</p>
<p>Gordon et al, 2002; Effectiveness of Three Models for Comprehensive Cardiovascular Disease Risk Reduction¹⁷</p> <p>This randomized clinical trial compared the clinical effectiveness of 2, less-costly and potentially more accessible, approaches to CVD risk reduction with that of a contemporary phase 2 cardiac rehabilitation program. Low or moderate risk patients (n=155) with coronary artery disease were randomly assigned to 12 weeks of participation in a contemporary phase 2 cardiac rehabilitation program (n=52), a</p>	<p>For patients with abnormal baseline values, statistically significant ($p \leq 0.05$) improvements were observed with all 3 interventions for multiple risk factors. With the exception of maximal oxygen uptake, no statistically significant risk factor differences were observed among the 3 programs (Figure 2). For patients with a baseline maximal oxygen uptake < 7 METs, maximal oxygen uptake increased to a greater degree in patients in the contemporary phase 2 cardiac rehabilitation program and the LHC program versus the physician supervised, nurse case managed</p>	<p>Cost and accessibility contribute to low participation rates in phase 2 cardiac rehabilitation programs in the U.S. These data demonstrate that an appropriately designed and executed comprehensive LHC program can be at least as effective as other more-costly and potentially less accessible interventions in low and moderate risk patients with coronary artery disease. The data have important implications for cost containment and for increasing accessibility to clinically effective comprehensive CVD risk reduction services in low or moderate risk patients with coronary artery disease.</p>

Reference, Year; Title; Objectives; Population; Study Design/Duration	Results/Outcomes	Conclusions/Implications
<p>physician supervised, nurse-case-managed CVD risk reduction program (n=54) or a comprehensive LHC program administered by exercise physiologists guided by a computerized participant management system based on national clinical guidelines (n=49). 142 patients (91.6%) completed testing at baseline and after 12 weeks of intervention.</p>	<p>program (Figure 1).</p>	
<p>Gordon et al, 2004; Effectiveness of Therapeutic Lifestyle Changes in Patients With Hypertension, Hyperlipidemia, and/or Hyperglycemia¹⁸</p> <p>This study utilized book of business data to evaluate the clinical effectiveness of 12 weeks of participation in a comprehensive LHC program in helping patients who had an elevated BP, hyperlipidemia and/or prediabetes or diabetes mellitus achieve goal risk factor levels without using pharmacotherapeutic agents. 2,390 ethnically diverse men and women with elevated BP, hyperlipidemia and/or impaired fasting glucose or diabetes mellitus were evaluated before and after 12 weeks of participation in the LHC program. Subjects were not taking medications for the risk factors in question at baseline and remained unmedicated throughout the study.</p>	<p>Of the participants with an elevated baseline systolic BP, diastolic BP, LDL cholesterol and/or fasting glucose, 64%, 67%, 21% and 39%, respectively, achieved the goal value (Figure 2). Of the participants with a baseline fasting glucose compatible with a diagnosis of diabetes, 37% decreased that value to <126 mg/dl.</p>	<p>These data demonstrate that many patients with conventional CVD risk factors can achieve goal levels without medications within 12 weeks of initiating LHC. This study adds to the existing literature by reporting on the effectiveness (i.e., extent to which LHC works in actual practice) rather than on the efficacy (i.e., determining whether LHC can work when administered in a clinical trial) of LHC.</p>
<p>Bavikati et al, 2008; Effect of Comprehensive Therapeutic Lifestyle Changes on Prehypertension¹⁹</p> <p>This study utilized book of business data to evaluate the clinical effectiveness of a comprehensive LHC</p>	<p>Baseline BP ($125 \pm 8/79 \pm 3$ mmHg) decreased by $6 \pm 12/3 \pm 3$ mmHg ($p \leq 0.001$), with 952 (38.4%) subjects normalizing their BP ($p \leq 0.001$). In subjects with a baseline systolic BP of 120-139 mmHg (n=2,082), systolic BP decreased by 7 ± 12 mmHg ($p \leq 0.001$).</p>	<p>The present study adds to previous research by reporting on the effectiveness (rather than the efficacy) of LHC in participants with prehypertension. The data demonstrate that many individuals with prehypertension can normalize their</p>

Reference, Year; Title; Objectives; Population; Study Design/Duration	Results/Outcomes	Conclusions/Implications
<p>program in normalizing BP without antihypertensive medications in participants with prehypertension. Subjects were 2,478 ethnically diverse (African Americans, n = 448; Caucasians, n = 1,881) men (n = 666) and women (n = 1,812) with prehypertension and no known atherosclerotic CVD, diabetes mellitus or chronic kidney disease. Subjects were evaluated at baseline and after an average of ~6 months of participation in the LHC program. Subjects were not taking antihypertensive medications at baseline and remained unmedicated throughout the study.</p>	<p>In subjects with a baseline diastolic BP of 80-89 mmHg (n=1,504), diastolic BP decreased by 6±3 mmHg (p ≤0.001). There were no racial differences in the magnitude of reduction in BP; however, women achieved greater BP reductions than men (p ≤0.001). Also, subjects with a baseline BMI <30 kg/m² achieved a greater reduction in BP than those with a baseline BMI ≥30 kg/m².</p>	<p>BP without medications within ~6 months of initiating LHC.</p>
<p>Maron et al, 2008; Health Risk Appraisal With or Without Disease Management for Worksite Cardiovascular Risk Reduction²⁰</p> <p>This randomized clinical trial evaluated how the intensity of intervention after the provision of an HRA affects CVD risk. 133 employees of Vanderbilt University with CVD risk factors were randomly assigned for 1 year to an HRA plus comprehensive LHC group (higher-intensity intervention; LHC group) or an HRA plus information about worksite health promotion programs group (lower-intensity intervention; HRA group). The LHC group received longitudinal, individualized, comprehensive LHC, whereas the HRA group members received one feedback session about their risk factors and information about free worksite health promotion programs that did not include LHC. The main outcome measure was the difference between groups in the</p>	<p>There was no statistically significant baseline difference between groups in the Framingham 10-year coronary heart disease risk score. In the LHC group, the mean Framingham 10-year coronary heart disease risk score decreased by 22.6% (relative risk reduction); in the HRA group, the mean score rose by 4.3% (p = 0.017 for the difference between groups; Figure 3).</p>	<p>Worksite health promotion programs often use HRAs to identify employees at increased risk and subsequently provide a range of interventions to encourage employees to improve their health. These data demonstrate that an HRA followed by comprehensive LHC is more effective than an HRA followed by one feedback session and the provision of information about free worksite health promotion programs that do not include LHC. The data indicate that the intensity of intervention is an important determinant of the magnitude of clinical benefit. The data also serve to highlight an important concept which does not appear to be adequately appreciated in the field of workplace wellness – namely, that not just any kind of lifestyle management program done in any way at all will produce high levels of clinical benefit. It is evident from this study that lifestyle management programs must be appropriately designed and executed</p>

Reference, Year; Title; Objectives; Population; Study Design/Duration	Results/Outcomes	Conclusions/Implications
<p>change in average Framingham 10-year coronary heart disease risk score from baseline to 1 year.</p>		<p>in an effective manner in order to significantly impact clinical variables. In other words, just because a certain lifestyle management program has been shown to result in clinical benefit it does not necessarily mean that all lifestyle management programs will be equally effective. Just as pharmaceutical manufacturers are expected to document the clinical effectiveness of new medications in an established class of drugs, it is important for lifestyle management programs to conduct research aimed at documenting the clinical effectiveness of the specific interventions in question.²</p>
<p>Derdeyn et al, 2014; Aggressive Medical Treatment With or Without Stenting in High-risk Patients With Intracranial Artery Stenosis (SAMMPRIS): the Final Results of a Randomised Trial.²¹⁻²³</p> <p>This multi-center study, conducted at 50 sites in the U.S., randomly assigned 451 patients with recent transient ischemic attack or stroke related to 70–99% stenosis of a major intracranial artery to aggressive medical management (antiplatelet therapy, intensive management of vascular risk factors and the INTERVENT LHC program) or aggressive medical management plus intracranial stenting with the Wingspan stent. The primary endpoint was any of the following: stroke or death within 30 days after enrolment, ischemic stroke in the territory of the qualifying artery beyond 30 days of enrolment, or stroke or death within 30 days after a revascularization procedure of the qualifying lesion during follow-up.</p>	<p>During a median follow-up of 32.4 months, 34 (15%) of 227 patients in the medical management group and 52 (23%) of 224 patients in the stenting group had a primary endpoint event. The primary endpoint rate in the medical group (14.1% at 2 years) was much lower than was projected based on the results of the WASID trial (24.7% at 2 years). The much lower rate of stroke in the medical management group in the SAMMPRIS trial compared with patients in the WASID trial who had similar entrance criteria was thought to be explained by differences in medical treatment in these trials. Patients in the WASID trial were treated with usual risk factor management and either aspirin or warfarin, whereas patients in the SAMMPRIS trial were treated with intensive risk factor management (including LHC) and combined aspirin and clopidogrel for 90 days followed by aspirin alone. Throughout the duration of the study, there were continued improvements in patients achieving</p>	<p>In addition to supporting the use of aggressive medical management rather than stenting in high-risk patients with atherosclerotic intracranial arterial stenosis, this study also: 1. demonstrates the feasibility of successfully incorporating LHC into multicenter clinical trials; 2. demonstrates the feasibility of successfully utilizing LHC as part of an aggressive risk factor management program in patients who have suffered a recent transient ischemic attack or stroke; 3. helps allay concerns regarding the long-term sustainability of risk factor control; 4. suggests that aggressive risk factor management (including LHC) is more effective than usual care in terms of stroke risk reduction; and 5. highlights the potential benefits of physical activity for the prevention of recurrent stroke events.</p>

Reference, Year; Title; Objectives; Population; Study Design/Duration	Results/Outcomes	Conclusions/Implications
	<p>targets for most risk factors. Interestingly, in the medical management group, multivariate analyses demonstrated that of the various risk factors (namely, systolic BP, LDL cholesterol, non-HDL cholesterol, A1C, BMI, physical inactivity and smoking) physical inactivity (which has not received much attention in stroke prevention trials) was by far the most important predictor of poor outcomes.</p>	
<p>Abbreviations: BMI = body mass index; BP = blood pressure; CVD = cardiovascular disease; HDL = high-density lipoprotein; HRA = health risk assessment; LDL = low-density lipoprotein; LHC = lifestyle health coaching; SAMMPRIS = Stenting and Aggressive Medical Management for Prevention of Recurrent Stroke in Intracranial Stenosis; WASID = Warfarin Aspirin Symptomatic Intracranial Disease.</p>		

Figure Legends

Figure 1. Comparative effectiveness of 3 models for comprehensive cardiovascular disease risk reduction: changes in clinical outcome measures after 12 weeks of intervention in individuals with abnormal baseline values (n=142). Changes from baseline were statistically significant ($p \leq 0.05$) except for HDL cholesterol (all 3 programs) and triglycerides (MD Supervised, RN Case-Managed Program). Differences among programs were statistically significant as follows: change in VO₂ max was greater with the Phase 2 Cardiac Rehabilitation Program and Lifestyle Health Coaching Program versus MD Supervised, RN Case-Managed Program ($p \leq 0.05$). Abbreviations: BP = blood pressure; HDL = high-density lipoprotein; LDL = low-density lipoprotein; MD = physician; RN = nurse; VO₂max = maximal oxygen uptake. See Table 1 and reference 17.

Figure 2. Effect of 12 weeks of lifestyle health coaching on control of systolic and diastolic BP in subjects not taking antihypertensive medications, fasting glucose in subjects not taking antidiabetic medications and LDL cholesterol in subjects not taking antilipemic medications: percentage of participants with abnormal baseline values who achieved the goal level. Abbreviations; BP = blood pressure; LDL = low-density lipoprotein. See Table 1 and reference 18.

Figure 3. Effect lifestyle health coaching program versus a lower-intensity comparison intervention: % change (relative risk reduction) in Framingham 10-year coronary heart disease risk score. * $p = 0.017$ for Lifestyle Health Coaching Program versus Lower-Intensity Comparison Intervention. See Table 1 and reference 20.

Fig. 1

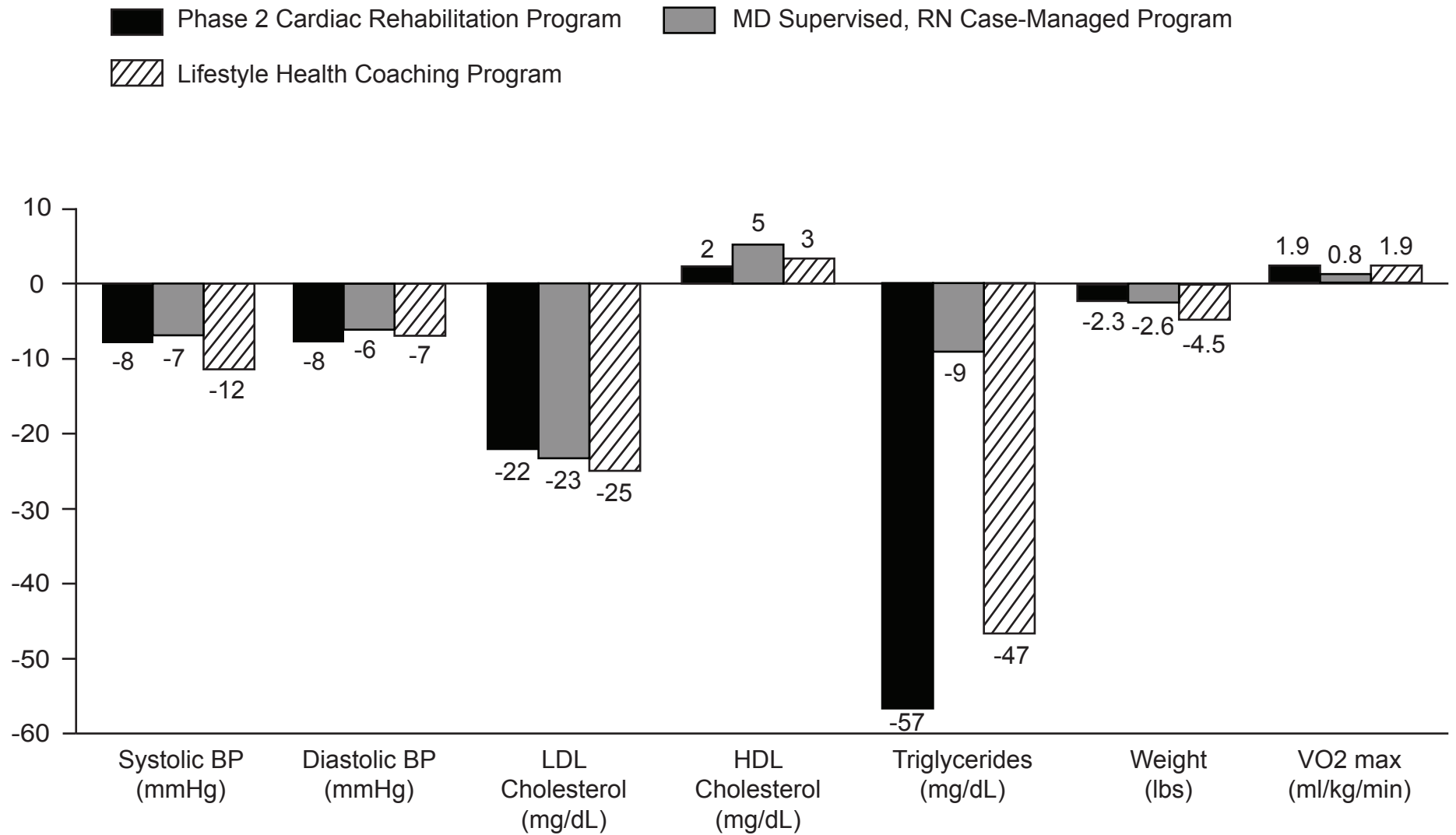


Fig. 2

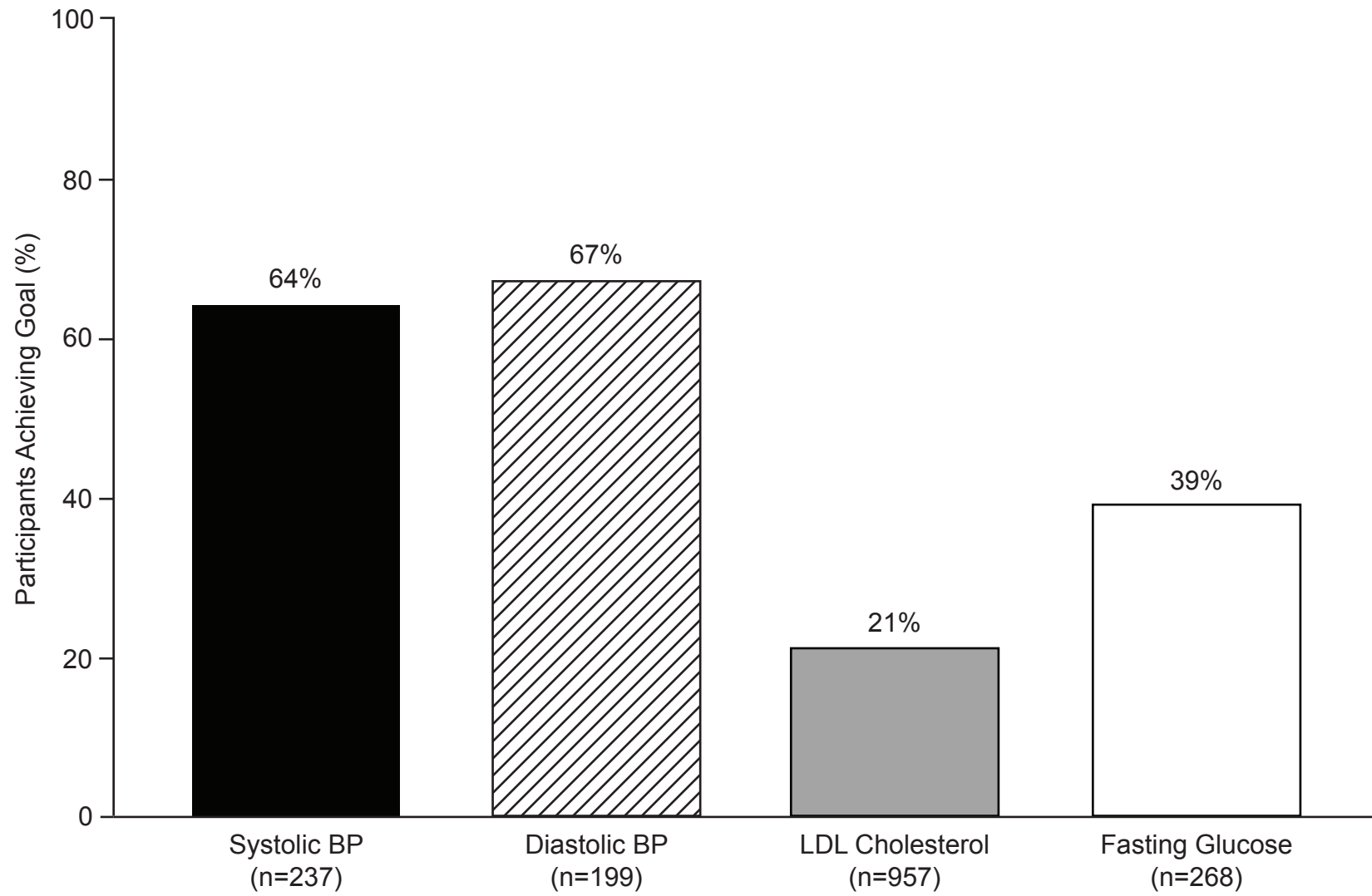


Fig. 3

